

INFORMATION COLLECTION BUDGET

**OF THE
UNITED STATES
GOVERNMENT**



2017

OFFICE OF MANAGEMENT AND BUDGET
OFFICE OF INFORMATION AND REGULATORY AFFAIRS

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Executive Summary

Under the Paperwork Reduction Act of 1995 (PRA)¹, the Office of Management and Budget (OMB) is required to report to Congress on the paperwork burden² imposed on the public by the Federal Government and efforts to reduce this burden. For over 35 years, since the enactment of the original Paperwork Reduction Act of 1980, OMB has complied with this reporting requirement by issuing an Information Collection Budget (ICB). The 2017 ICB reports on the paperwork burden imposed on the public during fiscal year (FY) 2016, and explores other issues pertaining to the implementation of the PRA.

Total Paperwork Burden

This ICB now presents information on the burden from 36 government agencies, while prior ICBs provided information from 28 agencies. Each of these agencies have paperwork burden greater than 0.5 million hours. In FY 2016, the last year of the Obama Administration, the public spent an estimated 11.4 billion hours responding to Federal information collections from these agencies. This total represents a net increase of 1.58 billion burden hours, or about 16 percent, from the estimated 9.86 billion hours that the public spent responding to Federal information collections in FY 2015.

Sources of Paperwork Burden Changes

In FY 2016, the total paperwork burden changes can be broken down into the following categories:

Discretionary agency actions:	+1,099 million hours
Statutory changes:	+646.7 million hours
Lapses in renewal or discontinuation:	-21.91 million hours
Adjustments (aka changes in agency estimates):	-148.9 million hours

Violations

OMB is reporting 351 violations of the Paperwork Reduction Act and related business processes during FY 2016. This is an increase of 68 violations—from 283 to 351—over the previous fiscal year. Many of these violations were largely attributable to business process issues, such as not submitting a discontinuation notice. As explained further below, OMB is working with agencies to identify and address such situations.

¹ See 44 U.S.C. Chapter 35; see 5 C.F.R. Part 1320.

² As defined by the Paperwork Reduction Act (PRA), “burden” refers to “time, effort, or financial resources expended by persons to generate, maintain, or provide information to or for a Federal agency, including the resources expended for: (A) reviewing instructions; (B) acquiring, installing, and utilizing technology and systems; (C) adjusting the existing ways to comply with any previously applicable instructions and requirements; (D) searching data sources; (E) completing and reviewing the collection of information; and (F) transmitting, or otherwise disclosing the information.” The PRA also recognizes that information collections have value. In practice, agencies and OIRA review all information collection requests to help ensure information collections yield the greatest possible public benefit. As this report documents, the Administration is redoubling efforts—including the retrospective review of existing information collections and regulations—to make reporting and paperwork less burdensome, and more valuable, to the government and the public.

For the eighth consecutive year, OMB is issuing an electronic-only ICB. The 2017 ICB is available on OMB's website at: <https://www.whitehouse.gov/omb/information-regulatory-affairs/reports/>.

Chapter 1: Information Collection Burden

Pursuant the Paperwork Reduction Act (PRA),³ the Office of Management and Budget (OMB) oversees agencies' information collection activities and reports to Congress annually on the effectiveness of the PRA's implementation. The Information Collection Budget (ICB) is OMB's annual report to Congress, providing a detailed accounting of the information collection activities of the Federal Government in a given fiscal year. This report presents the overall paperwork burden that the Federal Government imposed on the American public in FY 2016, and identifies efforts that the Federal agencies are making to reduce burden and collect information more efficiently and effectively.⁴

Information collections are defined by the PRA as “the obtaining, causing to be obtained, soliciting, or requiring the disclosure to third parties or the public, of facts or opinions by or for an agency, regardless of form or format, calling for either answers to identical questions posed to, or identical reporting or recordkeeping requirements imposed on, ten or more persons, other than agencies, instrumentalities, or employees of the United States; or answers to questions posed to agencies, instrumentalities, or employees of the United States which are to be used for general statistical purposes.”⁵ The terms “paperwork” and “information collection(s)” have the same meaning for the purposes of this report.

Burden is represented as hours spent by the public responding to Federal information collections. When an agency estimates and seeks to reduce the paperwork burden it imposes on the public, the agency must consider the time that an individual or entity spends reading and understanding a request for information, as well as the time spent developing, compiling, recording, reviewing, and providing the information. Consequently, paperwork burden includes more than just the time necessary to file a tax form or fill out a benefits application.

Although this report focuses on paperwork burden and PRA compliance issues, the PRA involves more than just the minimization of burden and adherence to specified processes. OMB engages in substantive efforts to help ensure that information collections by the Federal Government yield the greatest possible public benefit. The PRA seeks to enhance the productivity, efficiency, and effectiveness of government programs by improving the quality and use of data. Information collection can strengthen decision-making, accountability, and openness in government and society. Chapter 2 includes more information about OMB's efforts to enhance the utility of Federal information collections.

³44 U.S.C. Chapter 35; see 5 CFR Part 1320.

⁴ The Federal Government's information collection activities are also addressed in OMB's annual report on *Statistical Programs of the United States Government*. In addition, OMB issues a separate annual report on the information security provisions in subchapter III of Chapter 35, which were enacted in the Federal Information Security Management Act of 2002.

⁵ 44 U.S.C. § 3502.

1.1. Total Paperwork Burden

This ICB now presents information on the burden from 36 government agencies, while prior ICBs provided information from 28 agencies. These agencies have paperwork burden greater than 0.5 million hours. According to agency estimates of paperwork burden in FY 2016, the public spent 11.4 billion hours responding to or complying with Federal information collections.

1.2. Discussion of Paperwork Burden Changes in FY 2016

OMB is reporting that the public spent an estimated 11.4 billion hours responding to Federal information collections in FY 2016. This represents an increase of 1.58 billion burden hours, or 16 percent, from the estimated 9.87 billion hours that the public spent responding to Federal information collections in FY 2015. OMB identifies the following sources of changes in paperwork burden during FY 2016 (in order of effect):

1. Discretionary agency actions (estimated increase of 1,009 million hours);
2. New statutory requirements (estimated increase of 646.7 million hours);
3. Lapses in renewal or discontinuation – as a result of the expiration of burden producing activities or lapses in approval of such activities (estimated decrease of 21.91 million hours);
Adjustments to existing burden estimates – often as a result of demographic changes and other outside forces (estimated decrease of 148.9 million hours).

Each of these sources of estimated paperwork burden change is discussed in the pages that follow. They are also summarized in Table 1. Data on the specific collections of information that underlie the sources of the paperwork change is explained in Appendix A.

1) Discretionary Agency Actions (estimated increase of 1,009 million hours)

In some areas, agencies have considerable discretion in managing their information collection activities and the burden associated with those activities. For example, in administering a grant program where performance reporting is statutorily required, an agency may have discretion in deciding the frequency or depth of grantee reporting. For burden tracking purposes, OMB classifies these types of changes as “Due to Agency Discretion.” Given that agencies have control over these actions, OMB considers actions within this category of burden change to be the most appropriate measure of agency performance with respect to information collection.

In total, 23 agencies had net increases in burden from discretionary agency actions. The Department of Treasury (Treasury) had the largest absolute increase in burden from agency actions with a 1,051 million hour increase (equal to 13 percent of Treasury burden). This was due to its adoption of the Business Taxpayer Burden Model (BTBM), which revised the estimates of burden experienced by taxpayers. It replaces the earlier burden methodology developed in the mid-1980’s. As a result, 206 forms, which originally had separate OMB approval numbers were combined into this one submission; Treasury expects some of the increase to be offset in future years by discontinuations. The agency with the largest percentage increase in burden due to agency actions compared to FY 2015 was the National Aeronautic and Space Agency (NASA) with 256 percent (0.69 million hours).

2) New Statutory Requirements (estimated increase of 646.7 million hours)

Each year laws are enacted that create new programs for Federal agencies to implement. Quite frequently, these new programs require collection, use, and dissemination of information. Often, new legislative initiatives and amendments require more data collection.

The largest contributor to the 646.7 million hour net increase due to new statutory requirements was the Department of Health and Human Services collection entitled “Standards for Privacy of Individually Identifiable Health Information and Supporting Regulations at 45 CFR Parts 160 and 164.” The Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009, extended direct liability for compliance with the Health Insurance Portability and Accountability Act (HIPAA) to business associates of covered entities, and thus expanded the number of respondents. This resulted in an estimated increase in burden of 621 million burden hours.

3) Lapses in Renewal or Discontinuation (estimated decrease of 21.91 million hours)

In FY 2016, estimated paperwork burden was decreased by 21.9 million hours because collections went into expiration status as a result of a lapse of renewal or discontinuation.

The majority of the burden decrease due to lapses in renewal or discontinues is attributed to HHS.

4) Adjustments to Agency Burden Estimates (estimated decrease of 148.9 million hours)

Burden changes associated with adjustments to agency burden estimates were the largest source of net decrease in FY 2016. The “adjustments” category differs from other sources of burden change in the sense that the burden imposed on actual respondents for individual information collections does not change. Consider program eligibility and information collection requirements for receiving Social Security benefits. Although the reporting requirements for receiving such benefits may not change year-over-year, the burden for the collection may increase as greater numbers of Baby Boomers age and apply for benefits; the burden estimate is therefore “adjusted.”

There are two common types of adjustments in burden:

- a) *Agency Re-estimation of Burden*: An agency may re-evaluate a prior burden estimate for the collection, conclude that its prior estimate was inaccurate, and revise the estimate accordingly. Importantly, the agency has not changed the requirements of the collection, or added to or subtracted from the category of people who are required to respond to the collection.
- b) *Burden Changes from Demographic, Economic, and Other External Factors*: An agency may change the estimated burden of a collection if there has been a change in the number of individuals or entities that the agency estimates will respond to the collection, which is due to factors outside an agency’s control. As above, the agency has not changed the requirements of the collection or the category of people required to respond to the collection.

A typical example of such an adjustment is when demographic changes result in more (or fewer) people applying for a Federal benefit, and thus results in more (or fewer) applications being filled-out and submitted. Another example of such an adjustment is when economic changes result in changes in the number of businesses being created (and thus more tax forms are submitted). In both of these types of situations, the agency has not changed the requirements of the collection, and the agency has not redefined who has to respond to the collection. Instead, the changes in external factors either increase or decrease the number of individuals or entities that will respond to the collection. The majority of the burden decrease due to adjustments to agency burden is attributed to Treasury.

Table 1: FY 2016 Paperwork Burden Changes by Agency (in millions of hours)⁶

	FY 2015 Total Paperwork Burden	FY 2016 Changes Due to Agency Discretion		FY 2016 Changes Due to New Statutes		FY 2016 Changes Due to Lapses in Renewal or Discontinuation		FY 2016 Adjustments		FY 2016 Total Hour Changes		FY 2016 Total Paperwork Burden
			% change from '15		% change from '15		% change from '15		% change from '15		% change from '15	
Total	9,864.81	1,099.20	11.1%	646.69	6.60%	-21.91	-0.20%	-148.93	-1.50%	1,577.39	16%	11,442.20
CFPB*	37.18	-7.17	-19.3%	0	0.00%	0	0.00%	-0.19	-0.50%	-7.37	-20%	29.81
CFTC*	7.49	4.51	60.2%	0	0.00%	0.01	0.10%	-0.01	-0.10%	4.51	60%	12.00
CNCS*	0.48	-0.06	-12.5%	0	0.00%	0.32	66.70%	0	0.00%	0.26	54%	0.74
CPSC*	7.42	0	0.0%	0	0.00%	0	0.00%	-0.29	-3.90%	-0.30	-4.0%	7.12
DHS	203.39	-2.62	-1.3%	0	0.00%	-0.03	0.00%	-5.01	-2.50%	-7.66	-3.8%	195.73
DOC	32.11	38.45	119.7%	0	0.00%	0	0.00%	0.26	0.80%	38.72	121%	70.83
DOD	44.9	3.6	8.0%	0	0.00%	-0.77	-1.70%	0	0.00%	2.83	6.3%	47.73
DOE	2.38	-0.03	-1.3%	0	0.00%	-0.01	-0.40%	0	0.00%	-0.03	-1.3%	2.35
DOI	12.44	0.27	2.2%	0	0.00%	0	0.00%	1.33	10.70%	1.62	13%	14.06
DOJ	28.98	3.45	11.9%	-0.7	-2.40%	0.09	0.30%	-3.39	-11.70%	0.16	0.6%	29.14
DOL	144.71	14.89	10.3%	17.34	12.00%	0.01	0.00%	0.22	0.20%	32.47	22%	177.18
DOT	214.21	-20.39	-9.5%	0.01	0.00%	-0.02	0.00%	-8.79	-4.10%	-29.16	-14%	185.05
ED	90.84	1.49	1.6%	0	0.00%	-0.01	0.00%	-3.72	-4.10%	-2.21	-2.4%	88.63
EEOC*	7.59	1.97	26.0%	0	0.00%	0	0.00%	0	0.00%	1.97	26%	9.56
EGOV	0.21	-4.63	-2204.8%	0	0.00%	3.57	1700.00%	0	0.00%	0.47	224%	0.68
EPA	156.89	17.38	11.1%	0	0.00%	-1.59	-1.00%	6.99	4.50%	22.78	15%	179.67
FAR	33.61	0.04	0.1%	0	0.00%	0	0.00%	-0.01	0.00%	0.03	0.1%	33.64
FCC	84.55	-8.46	-10.0%	0	0.00%	0	0.00%	-2.78	-3.30%	-11.24	-13%	73.31
FDIC	12.34	0.01	0.1%	0.01	0.10%	-0.01	-0.10%	-0.36	-2.90%	-0.36	-2.9%	11.98
FERC	11.81	0.49	4.1%	0	0.00%	0	0.00%	-0.29	-2.50%	0.19	1.6%	12.00
FRS*	13.19	0.57	4.3%	0.48	3.60%	0	0.00%	-1.62	-12.30%	-0.57	-4.3%	12.62
FTC	135.37	0.05	0.0%	0	0.00%	0	0.00%	0.07	0.10%	0.12	0.1%	135.49
GSA*	2.22	0.05	2.3%	0	0.00%	0	0.00%	0	0.00%	0.05	2.3%	2.27
HHS	695.91	-85.37	-12.3%	628.09	90.30%	-30.08	-4.30%	180.01	25.90%	692.68	100%	1,388.59
HUD	45.15	0	0.0%	0	0.00%	-2.44	-5.40%	0.42	0.90%	-2.03	-4.5%	43.12
NASA	0.27	0.69	255.6%	0	0.00%	0	0.00%	0	0.00%	0.69	256%	0.96
NRC	11.05	-0.05	-0.5%	0	0.00%	0	0.00%	-0.5	-4.50%	-0.55	-5.0%	10.50
NSF	7.83	0.06	0.8%	0	0.00%	0	0.00%	-0.05	-0.60%	0.01	0.1%	7.84
OPM*	11.4	0.02	0.2%	0	0.00%	-0.02	-0.20%	0	0.00%	0.00	0.0%	11.40
SBA	1.43	0	0.0%	0	0.00%	0	0.00%	0	0.00%	-0.05	-3.5%	1.38
SEC	224.89	0.01	0.0%	1.66	0.70%	0	0.00%	9.57	4.30%	11.25	5.0%	236.14
SSA	43.91	3.77	8.6%	-0.31	-0.70%	0.15	0.30%	-1.18	-2.70%	2.42	5.5%	46.33
STATE	43.66	2.26	5.2%	0	0.00%	0.03	0.10%	0.61	1.40%	2.91	6.7%	46.57
TREAS	7,357.22	1,050.95	14.3%	0.09	0.00%	9.56	0.10%	-319.66	-4.30%	740.96	10%	8,098.18
USDA	127.55	83.08	65.1%	0.02	0.00%	-0.62	-0.50%	-0.62	-0.50%	81.85	64%	209.40
VA	10.23	-0.08	-0.8%	0	0.00%	-0.05	-0.50%	0.06	0.60%	-0.07	-0.7%	10.16

⁶ As a result of rounding, rows of some agencies may not sum.

* These agencies were listed in Appendix C: Additional Agency Burden in the 2016 ICB.

Chapter 2: Paperwork Reduction Act Compliance

The PRA assigns to each agency's Chief Information Officer the responsibility for ensuring that his or her agency complies with the Act. OMB's OIRA is responsible for approving information collection requests under the PRA. To help the public and the agencies monitor compliance with the information collection provisions of the PRA, OMB publishes a list of violations in the ICB (see Appendix B). These violations are collected by OMB through the use of a data call to both executive departments and agencies and independent agencies.⁷

OMB reports two categories of violations of the Paperwork Reduction Act: (1) collections in use without OMB approval and (2) lapses in renewal or discontinuation. Violations falling under the first category, collections in use without OMB approval, occur when the agency fails to submit the information collection request to OMB before it begins to collect information. Violations falling under the second category, lapses in renewal or discontinuation, occur when the agency (1) fails to submit its request to OMB to renew or (2) fails to discontinue its approval for a collection prior to the expiration date.

Although both categories are violations of the PRA, OMB considers the absence of lapses in renewal or discontinuation to be the better indicator of effective agency management of the PRA. A high number of agency collections that have been identified in use without OMB approval could indicate that the agency is effectively identifying violations and bringing them into compliance. On the other hand, a high number of lapses in renewal or discontinuation could indicate that the agency should improve its process for submitting renewals or discontinuations. OMB uses both categories to measure total violations for the entire Federal government.

2.1. Total Violations

OMB reports 351 violations of the PRA during FY 2016. Prior to FY 2010, OMB used only the total number of lapses in renewal or discontinuation to measure total violations for the entire Federal Government. Since FY 2010, OMB has reported total violations inclusive of both lapses in renewal or discontinuation and uses without an OMB control number. Violations in FY 2016 can only be validly compared against violations after FY 2010.

For FY 2016, OMB is reporting 71 collections in use without OMB control numbers and 280 violations due to lapses in renewal or discontinuation.

Of the lapses in renewal or discontinuation, 155 violations reported in this year's total expired in or prior to FY 2016 and were reinstated in FY 2016. In addition, 125 violations due to lapses due to renewal or discontinuation resulted from collections that expired in FY 2016 and were not renewed or discontinued in FY 2016.

⁷ See Memorandum for Chief Information Officers, "Minimizing Paperwork and Reporting Burdens; Data Call for the 2017 Information Collection Budget," July 21, 2017, available at <https://www.whitehouse.gov/wp-content/uploads/2017/12/MEMORANDUM-FOR-CHIEF-INFORMATION-OFFICERS.pdf>.

To put the 351 violations total in perspective, agencies maintain about 9,000 active OMB control numbers in the inventory of approved information collections. Moreover, in FY 2016 OIRA desk officers reviewed and concluded on over four thousand information collection requests. The vast majority of existing collections are renewed or discontinued before their expiration dates.

2.2. Achieving Zero Violations

Table 2 lists agencies with violations for FY 2016. Unlike last year, OMB is listing the total number of violations per agency, not just the lapses in renewal or discontinuation, because it is important to report on agency performance for both kinds of violations. As in prior years, in Table 3, OMB is providing the total number of lapses by agency, since violations due to lapses in renewal or discontinuation is the strongest way to measure individual agency compliance with the PRA. OMB is committed to working with agencies to reduce violations. OMB continues to work with agencies to submit renewals and discontinuations for collections on a timely basis and to seek OMB approval when agencies collect information from ten or more persons or from all or a substantial majority of an industry. OMB offers an electronic system that enables agencies to generate reports of collections that have approvals nearing expiration, and, as part of its day-to-day operations, OMB regularly answers questions from agencies about the appropriate action for collections that have approvals nearing expiration.

Table 2: FY 2016 Total Violations⁸

Agency	Number of Violations
All Agencies	351
Department of Health and Human Services	71
Department of Defense	59
Department of the Treasury	53
Department of Homeland Security	29
Department of Agriculture	19
Department of Transportation	15
Department of Justice	13
Department of Veterans Affairs	12
Export-Import Bank of the United States	11
Grants.gov	10
Environmental Protection Agency	10
Corporation for National and Community Service	9
National Aeronautics and Space Administration	5
Office of Personnel Management	4
Department of Housing and Urban Development	4
Department of the Interior	4
Social Security Administration	3
Department of Commerce	3
Consumer Financial Protection Bureau	3
Department of Energy	2
Small Business Administration	2
Department of State	2
Department of Education	1
DOD/GSA/NASA (FAR)	1
Nuclear Regulatory Commission	1
Department of Labor	1
Federal Communications Commission	1
Merit Systems Protection Board	1
Commodity Futures Trading Commission	1
Federal Mediation and Conciliation Service	1

⁸ Any Executive Departments or Agencies or Independent Agencies not listed within this chart are assumed to have zero violations.

Table 3: FY 2016 Violations Due to Lapses in Renewal or Discontinuation⁹

Agency	Number of Violations
All Agencies	280
Department of the Treasury	53
Department of Defense	42
Department of Health and Human Services	40
Department of Homeland Security	29
Department of Transportation	15
Department of Agriculture	12
Department of Justice	12
Export-Import Bank of the United States	11
Environmental Protection Agency	10
E-Gov (aka Grants.gov)	10
Corporation for National and Community Service	9
Department of Veterans Affairs	7
Office of Personnel Management	4
Department of Housing and Urban Development	4
Social Security Administration	3
Department of Commerce	3
National Aeronautics and Space Administration	2
Department of Energy	2
Small Business Administration	2
Consumer Financial Protection Bureau	2
Commodity Futures Trading Commission	1
Federal Mediation and Conciliation Service	1
Nuclear Regulatory Commission	1
Department of Education	1
Merit Systems Protection Board	1
Department of State	1
Federal Communications Commission	1
DOD/GSA/NASA (FAR)	1

⁹ Any Executive Departments or Agencies or Independent Agencies not listed within this chart are assumed to have zero violations.

2.3. Steps to Improve Agency Compliance

In addition to routine efforts to inform agency staff of the steps they can take to meet PRA requirements efficiently and effectively,¹⁰ OIRA has continued to reinforce lessons imparted during PRA training sessions held for agency PRA clearance officers. OIRA staff continues to highlight the importance of minimizing duplication, simplification, reducing burden through the use of technology, and focusing on the practical utility of information collected.

¹⁰ See Memorandum for the Heads of Executive Departments and Agencies and Independent Regulatory Agencies, “Flexibilities under the Paperwork Reduction Act for Compliance with Information Collection Requirements,” July 22, 2016, *available at* https://www.whitehouse.gov/sites/whitehouse.gov/files/omb/inforeg/inforeg/praflexibilities_memo_7_22_16_final.pdf.

Chapter 3: Reducing Burden

OMB regularly works with agencies to minimize the burden of individual information collections on the public. Many of these efforts take the form of day-to-day efforts to ensure that burdens are justified and to identify ways for agencies to promote their statutory missions and goals while significantly reducing burdens. Some of these efforts have been more formal and systemic, including data calls for new initiatives.

On January 30, 2017, President Trump issued Executive Order 13771, Reducing Regulation and Controlling Regulatory Costs,¹¹ which recognized “the policy of the executive branch to be prudent and financially responsible in the expenditure of funds,” and that “it is essential to manage the costs associated with the governmental imposition of private expenditures required to comply with Federal regulations.” In addition, the Paperwork Reduction Act of 1995 (PRA) expresses the national commitment to minimizing paperwork burdens and improving the quality of information collected while ensuring the greatest possible benefit to the public. In implementing guidance for Executive Order 13771,¹² OMB directed agencies to include paperwork reduction efforts in their accounting for Executive Order 13771. The impact of that will be shown in the ICB for FY 2017, the first year of the Trump Administration.

New burden reduction initiatives vary greatly across Federal agencies. However, all such initiatives are designed to achieve one or more important goals, including (1) improving program performance by reducing the cost or enhancing the efficiency of agency information collections, (2) reducing the burden overall or per response on the public, or (3) leading to a comprehensive review of an entire program, including regulations and procedures.

This chapter offers an updated list of initiatives from Independent Agencies, including progress on existing burden reduction initiatives. These burden reduction initiatives were provided as of August, 2017; see progress on these initiatives in future reports.

3.1.List of Independent Agency Burden Reduction Initiatives¹³

3.3.1. Consumer Financial Protection Bureau

Agency: Other	Status: In-Progress
Office(s):	Consumer Financial Protection Bureau (CFPB)
Initiative	Amendment to the Annual Privacy Notice Requirement Under the Gramm-Leach-Bliley Act (Regulation P)

¹²See Memorandum for Regulatory Policy Officers at Executive Departments and Agencies and Managing and Executive Directors of Certain Agencies and Commissions, “Guidance Implementing Executive Order 13771, Titled ‘Reducing Regulation and Controlling Regulatory Costs,’” April 5, 2017, *available at* <https://www.whitehouse.gov/sites/whitehouse.gov/files/omb/memoranda/2017/M-17-21-OMB.pdf>

¹³ These reduction initiatives were provided in response to the 2017 ICB Data Call, sent July 21, 2017.

Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input checked="" type="checkbox"/> Frequency of information collection
	<input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input type="checkbox"/> Other
Description:	The CFPB plans to promulgate a rule that would implement the December, 2015 amendment to the Gramm-Leach-Bliley Act (Public Law 114-94, § 75001) and amend 12 C.F.R. 1016.5 of Regulation P to provide that a financial institution is not required to deliver an annual privacy notice if certain conditions are met.
Collection(s)	3170-0010
Estimated Reduction: -53,216 total burden hours	
Date of Completion:	Expected in November, 2017
Challenges:	None. However, to complete this initiative and realize the projected burden reduction, the Bureau needs to publish the final rule for 12 C.F.R. 1002 (RIN 3170-AA60), which is currently expected to be published in November, 2017.

Agency: Other	Status: In-Progress
Office(s):	Consumer Financial Protection Bureau (CFPB)
Initiative	Amendments to the Home Mortgage Disclosure Rule (Regulation C)
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection
changes)	<input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other (Regulatory changes)

Description:	After becoming aware of compliance challenges that industry may face in implementing the 2015 Home Mortgage Disclosure Act (HMDA) Final Rule, the Bureau has proposed certain amendments to Regulation C (12 C.F.R. 1003) to address those challenges. The Bureau proposed to establish transition rules for two data points. It also proposed additional amendments to reduce the burden, such as clarifying certain key terms and creating a new reporting exception for certain transactions. In addition, the Bureau proposed to reduce the burden associated with reporting the census tract of the property securing, or, in the case of an application, proposed to secure, covered loan required by Regulation C. The rule would establish a safe harbor for results generated by a geocoding tool that the Bureau plans to make available on its website. In addition, the Bureau proposed to increase the threshold for collecting and reporting data about open-end lines of credit for a period of two years so that financial institutions originating fewer than 500 open-end lines of credit in either of the preceding two years would not be required to begin collecting such data until January 1, 2020.
Collection(s)	3170-0008
Estimated Reduction:	-180,000 total burden hours in part from the existing burden and in part from the additional burden expected to be imposed by the 2015 HMDA final rule as its provisions become effective.
Date of Completion:	Expected in August, 2017
Challenges:	None. However, to complete this initiative and realize the projected burden reduction, the Bureau needs to publish the final rule for 12 C.F.R. 1003 (RINs 3170-AA64 and 3170-AA76), which is currently expected to be published in August 2017.

3.3.2. *Federal Communications Commission*

Agency:	Federal Communications Commission	Status:	Complete
Office(s):	Wireline Competition Bureau		
Initiative	Direct Access to Numbers		

Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection
	<input type="checkbox"/> Record retention requirements	<input checked="" type="checkbox"/> Re-use of already collected data
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input type="checkbox"/> Other
Description:	Allowing interconnected VoIP providers to apply for a blanket authorization from the FCC that, once granted, will allow them to demonstrate that they have the authority to provide service in specific areas, thus enabling them to request numbers directly from the Numbering Administrators	
Collection(s)	OMB Control Number 3060-1214, Direct Access to Numbers Order, FCC 15-70, Conditions	
Estimated Reduction:	1,040 total burden hours	
	N/A total cost burden	
Date of Completion:	Completed in July, 2016	
Challenges:	None	

Agency:	Federal Communications Commission	Status:	In-Progress
Office(s):	Media Bureau		
Initiative	Ownership Report for Commercial Broadcast Station Streamlining Improvements		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	
	<input type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input checked="" type="checkbox"/> Other	
Description:	The Commission adopted a Report and Order, Second Report and Order, and Order on Reconsideration, FCC 16-1 (323/CORES Order and Reconsideration		

	Order) that makes changes to the ownership reporting requirements that are intended to improve this data collection. The 323/CORES Order and Reconsideration Order adopts a Restricted Use FRN within CORES that individuals may use solely for the purpose of broadcast ownership report filings. In addition, the changes extend the biennial filing deadline, reduces the number of filings required and improves the reporting of other broadcast and daily newspaper interests.
Collection(s)	OMB Control Number 3060-0010, Ownership Report for Commercial Broadcast Station, FCC Form 2100, Schedule 323 (formerly FCC Form 323); Section 73-3615, Ownership Reports, Section 74.797, Biennial Ownership Reports
Estimated Reduction:	28,505 total burden hours
	\$16,814,840 total cost burden
Date of Completion:	Expected in November, 2016
Challenges:	None

Agency:	Federal Communications Commission	Status:	In-Progress
Office(s):	Public Safety and Homeland Security Bureau		
Initiative	Emergency Alert System (EAS) Test Reporting System		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other		
Description:	The FCC updated a previously approved collection represented by the forms associated with the mandatory electronic Emergency Alert System (EAS) Test Reporting System (ETRS) that EAS Participants must utilize to file identifying and test result data as part of their participation in any authorized nationwide EAS tests. The FCC previously estimated that EAS Participants would take four hours to complete the required forms. Because of improvements to the ETRS that pre-populate EAS Participants'		

	filings using previously filed information, we anticipate that this year's filings will take closer to one hour to complete, a reduction of three hours per filing.
Collection(s)	OMB Control Number 3060-0207, Part 11 - Emergency Alert System (EAS), Order, FCC 16-32
Estimated Reduction:	82,404 total burden hours \$3,131,352 total cost burden
Date of Completion:	Expected in July, 2017
Challenges:	None

Agency: Federal Communications Commission		Status: In-Progress	
Office(s):	Consumers and Governmental Affairs Bureau		
Initiative	Accessible Telecommunications and Advanced Communications Services and Equipment Streamlining and Improvements		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	
	<input type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input checked="" type="checkbox"/> Other	
Description:	The 2015 Open Internet Order reclassified Broadband Internet Access Service (BIAS) as a telecommunications service and extended the information collection requirements for Accessible Telecommunications and Advanced Communications Services and Equipment to BIAS providers and manufacturers of equipment used for BIAS. While this change resulted in a burden increase, the overall change resulted in a net decrease due to decreases in the various estimates of respondents, responses, and costs.		
Collection(s)	OMB Control Number 3060-1167, Accessible Telecommunications and Advanced Communications Services and Equipment		

Estimated Reduction:	253,959 total burden hours
	\$273,978 total cost burden
Date of Completion:	Expected in January, 2017
Challenges:	None

Agency: Federal Communications Commission		Status: In-Progress	
Office(s):	Media Bureau		
Initiative	Elimination of Satellite Sports Blackout Rules		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	
	<input type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input checked="" type="checkbox"/> Other	
Description:	The Commission issued a Report and Order (FCC 14-141) eliminating the satellite sports blackout rules effective November 24, 2014. As a result of this change, the collection associated with these rules was discontinued reducing the burden by 3,130 hours.		
Collection(s)	OMB Control Number 3060-0960, 47 CFR 76.122, Satellite Network Non-duplication Protection Rules; 47 CFR 76.123, Satellite Syndicated Program Exclusivity Rules; 47 CFR 76.124, Requirements for Invocation of Non-duplication and Syndicated Exclusivity Protection		

Estimated Reduction:	3,130 total burden hours
	N/A total cost burden
Date of Completion:	Expected in January, 2017
Challenges:	None

Agency: Federal Communications Commission		Status: In-Progress	
Office(s):	Public Safety and Homeland Security Bureau		
Initiative	Section 4.9, Part 4 of the Commission's Rules Concerning Disruptions to Communications Streamlining and Improvements		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	
	<input type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input checked="" type="checkbox"/> Other	
Description:	The Commission needs the information collection to facilitate the Commission's analysis of the reliability and security of voice, paging, and interconnected VoIP communication services, to identify and act on potential threats to our Nation's telecommunications infrastructure and to improve the Commission's situational awareness with respect to network events and their effect on the public. The Commission uses the information collected to identify the duration, magnitude, root causes, contributing factors, and preventative measures taken with respect to significant telecommunication service outages, and to take swift remedial action as required in appropriate circumstances. The FCC rules, 47 CFR Part 4, require outage reporting from all covered communications providers. Reduction in burden hours are due to program improvements.		
Collection(s)	OMB Control Number 3060-0484, Section 4.9, Part 4 of the Commission's Rules Concerning Disruptions to Communications		

Estimated Reduction:	4,864 total burden hours
	N/A total cost burden
Date of Completion:	Expected in January, 2017
Challenges:	None

Agency: Federal Communications Commission		Status: In-Progress	
Office(s):	Media Bureau		
Initiative	Change in Public Inspection File Record Retention Requirements		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	
	<input checked="" type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input type="checkbox"/> Other	
Description:	The Commission adopted Report and Order ("R&O") in MB Docket No. 16-161, FCC 17-3, In the Matter of Revisions to Public Inspection File Requirements – Broadcaster Correspondence File and Cable Principal Headend Location on January 31, 2017 removing the requirements and the associated burdens of 47 CFR 73.1202 and 73.3526(e)(9). Previously, that information had to be made available to certain entities, including the FCC and local television stations. The R&O now requires cable operators to provide this information to the FCC, television stations, and franchisors upon request. In lieu of responding to individual requests for such information, operators may alternatively elect voluntarily to provide this information to the Commission for inclusion in the Commission's online public inspection file ("OPIF") database and may elect to make the information publicly available there. The change resulted in a decrease of burden hours.		
Collection(s)	OMB Control Number 3060-0214, Section 73.3526 and 73.3527, Local Public Inspection File, Sections 73.212, 76.101 and 73.1943, Political Files		

Estimated Reduction:	20,101 total burden hours
	N/A total cost burden
Date of Completion:	Expected in May, 2017
Challenges:	None

Agency: Federal Communications Commission		Status: New	
Office(s):	Consumer and Governmental Affairs Bureau		
Initiative	Change in Section 79.1 Closed Captioning		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	
	<input type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input checked="" type="checkbox"/> Other	
Description:	Section 713 of the Communications Act of 1934, as amended (the Act), 47 U.S.C. § 613, which was added pursuant to section 305 of the Telecommunications Act of 1996, requires the Commission to prescribe rules and implementation schedules for closed captioning of video programming. The Commission adopted Closed Captioning of Video Programming; Telecommunications for the Deaf and Hard of Hearing, Inc. The purpose of this submission is to extend the collection for the closed captioning rules (found in 47 C.F.R. § 79.1), add the collections adopted in the Closed Captioning Quality Report and Order, and update the estimates of existing burdens that were included in the March 2014 Paperwork Reduction Act (PRA) submission to the Office of Management and Budget (OMB).		
Collection(s)	OMB Control Number 3060-0761, Section 79.1 Closed Captioning, CG Docket No. 05-231		

Estimated Reduction:	551,796 total burden hours
	\$4,581,900 total cost burden
Date of Completion:	Expected in December, 2017
Challenges:	None

Agency:	Federal Communications Commission	Status:	New
Office(s):	Consumer and Governmental Affairs Bureau		
Initiative	Discontinuance of the National Deaf-Blind Equipment Distribution Pilot Program		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	<input type="checkbox"/> Record retention requirements
	<input type="checkbox"/> Re-use of already collected data	<input type="checkbox"/> Electronic "fillable fileable" forms	<input checked="" type="checkbox"/> Other
Description:	The Commission adopted rules to establish the National Deaf-Blind Equipment Distribution Program (NDBEDP) in accordance with Section 719 of the Communications Act in 2011. Established as a pilot program, the NDBEDP supports the distribution of communications equipment and provision of related services to low-income individuals who are deaf-blind. Section 719 authorizes up to \$10 million annually from the Telecommunications Relay Service (TRS) Fund for this purpose. The Commission certified one entity in each state to have full oversight and responsibility for NDBEDP activities in its state and to be reimbursed up to its annual allocation from the TRS Fund for its NDBEDP activities. The Commission launched the pilot program on July 1, 2012 and expects to discontinue this collection in June, 2018.		
Collection(s)	OMB Control Number 3060-1146, Implementation of the Twenty-first Century Communications and Video Accessibility Act of 2010, Section 105, Relay Services for Deaf-Blind Individuals, CG Docket No. 10-210		

Estimated Reduction:	5,850 total burden hours
	N/A total cost burden
Date of Completion:	Expected in June, 2018
Challenges:	None

3.3.3. Federal Deposit Insurance Corporation

3.3.4. Agency:	Federal Deposit Insurance Corporation	Status:	In-Progress
Office(s):	Legal Division, Division of Depositor and Consumer Protection and Division of Risk Management Supervision		
Initiative	EGRPRA Review		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other		
Description:	<p>During Fiscal Year 2016, the FDIC continued its previously reported regulatory review pursuant to the <i>Economic Growth and Regulatory Paperwork Reduction Act of 1996</i> (EGRPRA). During the reporting period, the FDIC evaluated and prioritized comments received in response to comment solicitations in <i>Federal Register</i> notices and outreaches, and began developing various initiatives to reduce regulatory burden. The information collections affected and the total PRA impact is yet to be determined and individual PRA reduction initiatives will be reported separately in this and future ICB Data Call responses.</p> <p>EGRPRA requires that regulations prescribed by the Federal Financial Institutions Examination Council (FFIEC), Office of the Comptroller of the Currency, Federal Deposit Insurance Corporation, and Board of Governors of the Federal Reserve System be reviewed by the agencies at least once every 10 years. Under the auspices of the FFIEC, the federal banking agencies began their EGRPRA review in 2014 with the release of the first of four Federal Register notices that requested comments on their regulations. During Fiscal Year 2015, the agencies also held four outreach events throughout the country; one specifically focused on rural and community bank issues. The agencies received numerous comment letters and compiled a large number of suggestions and comments through the outreach sessions. The agencies completed the review and submitted a report to Congress on March 21, 2017. The report lays out how the review was conducted, what has been done to date</p>		

	to address regulatory burden, and further measures that the agencies will take to address issues that have been identified through the process. Because the report was submitted after the end of Fiscal Year 2016, we are reporting this initiative as “in progress,” and will report it as “complete” in next year’s Data Call.
Collection(s)	TBD
Estimated Reduction:	TBD total burden hours (The total PRA impact is yet to be determined and individual PRA reduction initiatives will be reported separately in this and future ICB Data Call responses)
	0 total cost burden
Date of Completion:	Expected in March, 2017
Challenges:	

Agency:	Federal Deposit Insurance Corporation	Status:	In-Progress
Office(s):	Division of Risk Management Supervision		
Initiative	Streamline Call Reporting Requirements		
Reduction Area(s): <i>(Check all that apply)</i>	<input checked="" type="checkbox"/> “Short Form” options	<input checked="" type="checkbox"/> Frequency of information collection	<input type="checkbox"/> Record retention requirements
	<input type="checkbox"/> Electronic “fillable fileable” forms	<input checked="" type="checkbox"/> Other	<input type="checkbox"/> Re-use of already collected data
Description:	During Fiscal Year 2016, we continued to conduct banker training on Call Report matters via teleconferences and webinars. Working through the Federal Financial Institutions Examination Council (FFIEC), the FDIC has been engaging the industry since Fiscal Year 2014 in a dialogue and a series of proposals about ways to streamline the Call Reports and the reporting process, with a special focus on the ways these reports affect community banks. This		

	<p>ongoing initiative responds to industry concerns about the cost and burden of regulatory reporting requirements.</p> <p>More recently, a webinar was held on March 8, 2017 that introduced bankers to the new FFIEC 051 Call Report, explained its content and how it differs from the existing FFIEC 041 Call Report, and summarized the other revisions to the FFIEC 031 and FFIEC 041 Call Reports. The agencies plan to conduct additional Call Report teleconferences and webinars for bankers going forward as needed. The total PRA impact of this initiative is yet to be determined and will be reported separately in this and future ICB Data Call responses.</p>
Collection(s)	3064-0052
Estimated Reduction:	TBD total burden hours (The total PRA impact is yet to be determined and individual PRA reduction initiatives will be reported separately in this and future ICB Data Call responses)
	0 total cost burden
Date of Completion:	Expected in March, 2019
Challenges:	

Agency:	Federal Deposit Insurance Corporation	Status:	New
Office(s):	Division of Risk Management Supervision		
Initiative	Call Report Simplification and Streamlining – Community Banks		
Reduction Area(s):	<input checked="" type="checkbox"/> "Short Form" options	<input checked="" type="checkbox"/> Frequency of information collection	
(Check all that apply)	<input type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input type="checkbox"/> Electronic "fillable fileable" forms	<input checked="" type="checkbox"/> Other	

Description:	During Fiscal Year 2016, the agencies introduced a new streamlined Call Report, FFIEC 051, effective for the March 31, 2017, quarter-end report date that eligible small institutions may submit in place of the FFIEC 041 Call Report. In general, eligible small institutions are institutions with domestic offices only and less than \$1 billion in total assets. These institutions represent about 87 percent of all institutions that file the Call Report. The FFIEC 051 report form was created from the FFIEC 041 report form, which is otherwise applicable to all institutions with domestic offices only, regardless of size. The new, streamlined form removes certain existing schedules and data items that have been replaced by a limited number of data items collected in a new supplemental schedule, eliminates certain other existing data items, and reduces the reporting frequency of certain data items. Some burden-reducing changes to the FFIEC 041 and FFIEC 031 reporting requirements were also addressed during Fiscal Year 2016 and took effect in the first quarter of 2017. These changes in regulatory reporting requirements were proposed for public comment on August 15, 2016. The FDIC expects to report additional burden reducing efforts related to this initiative for Fiscal Year 2017.
Collection(s)	3064-0052
Estimated Reduction:	36,838 total burden hours. 0 total cost burden
Date of Completion:	Expected in March, 2018
Challenges:	

Agency:	Federal Deposit Insurance Corporation	Status:	New
Office(s):	Division of Depositor and Consumer Protection, Office of the Ombudsman, Division of Administration, Division of Insurance Research, and Division of Information Technology.		
Initiative	Establish the Enterprise Public Inquiry and Complaints [EPIC] system for processing public inquiries and complaints.		

Reduction Area(s): <i>(Check all that apply)</i>	<input checked="" type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other
Description:	<p>During Fiscal Year 2016, the FDIC started the process of transitioning several existing forms used for customer assistance, business assistance and deposit insurance inquiries from paper-based (fillable and printable) to web-based electronic (fillable and fileable) solutions in connection with the FDIC's Enterprise Public Inquiry and Complaints (EPIC) effort. The FDIC currently uses several systems for similar work. After EPIC is implemented, a single system will be used to better serve the public by efficiently routing the particular concern to the correct division or office.</p> <p>The EPIC solution will be used to manage public and banker complaints and inquiries received by the FDIC. The methods of communication to be managed within the new solution will include complaints and inquiries received via telephone, email, web-forms, mail and fax. FDIC may consider expanding use of the same enterprise platform to support a wider range of business needs beyond handling of public complaints and inquiries.</p>
Collection(s)	3064-0134 (Customer Assistance Forms); 3064-0190 (Interagency Appraisal Complaint Form)
Estimated Reduction:	3,799 total burden hours 0 total cost burden
Date of Completion:	Expected in June, 2018
Challenges:	

Agency: Federal Deposit Insurance Corporation

Status: New

Office(s): Division of Risk Management Supervision

Initiative: Rescind and Remove 12 CFR part 350 – Disclosure of Financial and Other Information by FDIC-Insured State Nonmember Banks.

Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input checked="" type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other
Description:	<p>During Fiscal Year 2016 the FDIC began the process of eliminating a regulation that requires banks to prepare an annual disclosure statement and make available to the public a potentially unlimited number of copies of these statements. This rule, Part 350 of Title 12 of the Code of Federal Regulations, burden was adopted in 1988 because disclosure statements were, at that time, an effective means for the public to obtain information concerning a bank's financial condition. Now, however, the disclosure statements required by part 350 are no longer necessary; more extensive financial information concerning banks is readily available to the public through the internet. In addition, monthly updates of administrative enforcement actions taken against banks can be readily obtained. Furthermore, because regulations similar to part 350 have been rescinded by the Federal Reserve Board (FRB) and the Office of the Comptroller of the Currency, the preparation and availability of annual disclosure statements are no longer required by all the agencies. Proposing to remove part 350 is consistent with the objectives of section 2222 of the Economic Growth and Regulatory Paperwork Reduction Act of 1996 (EGRPRA), including its direction to the FDIC and the other banking agencies to eliminate unnecessary regulations to the extent that such action is appropriate.</p>
Collection(s)	3064-0090
Estimated Reduction: 2,008 total burden hours	
0 total cost burden	
Date of Completion:	Expected in September, 2018
Challenges:	

Agency: Federal Deposit Insurance Corporation

Status: New

Office(s):	Division of Administration
Initiative	Enterprise Workforce Solution (eWORKS)
Reduction Area(s): collection (Check all that apply)	<input checked="" type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other
Description:	<p>During Fiscal Year 2016, the FDIC started preparations to automate the end-to-end processes supporting the on-boarding and off-boarding of FDIC staff and contractors in connection with a project called eWorks. eWORKS initiates, tracks, and reports on the status/completion of on-boarding and off-boarding activities (background investigations, badging, facility access, transportation, emergency preparedness, and office space).</p> <p>eWORKS allows external candidates (potential employees and contractors) to enter data into the eWORKS repository via on-line automated forms instead of the currently used paper forms. eWORKS also includes an interface with the Office of Personnel Management to automatically send and receive BI cases electronically. Additionally, eWORKS provides web-based reporting capability on personnel security functions. The information collections affected and the total PRA impact is yet to be determined and individual PRA reduction initiatives will be reported separately in future ICB Data Call responses.</p>
Collection(s)	TBD
Estimated Reduction:	<p>TBD total burden hours (The total PRA impact is yet to be determined and individual PRA reduction initiatives will be reported separately in future ICB Data Call responses)</p> <p>0 total cost burden</p>
Date of Completion:	Expected in November, 2017

Challenges:

Agency: Federal Deposit Insurance Corporation		Status: New	
Office(s):	Division of Administration		
Initiative	2016 Forms Currency Review/Conversion		
Reduction Area(s): <i>(Check all that apply)</i>	<input checked="" type="checkbox"/> "Short Form" options	<input type="checkbox"/> Frequency of information collection	
	<input type="checkbox"/> Record retention requirements	<input type="checkbox"/> Re-use of already collected data	
	<input checked="" type="checkbox"/> Electronic "fillable fileable" forms	<input type="checkbox"/> Other	
Description:	<p>During Fiscal Year 2016, the FDIC began preparations to conduct the Forms Currency Review on over 700 Corporate-wide forms to ensure they are current and relevant. Division of Administration's Records and Information Management Unit is working to convert all official forms to a format that allows for the design/creation of forms that are more dynamic in function, more user-friendly, that enables electronic routing and signatures, and is more cost-efficient to the Corporation.</p> <p>This new approach will allow form designers to create fillable forms with burden reducing functionality that could include: calculations, conditional formatting, automatic emailing based upon button selection, ability to attach files within the form, dropdown boxes (with static information), and interactive radio button/checkbox lists allowing complex functionality, e.g. certain fields appear depending on how the end-user answers questions. The information collections affected and the total PRA impact is yet to be determined and will be reported in future ICB Data Call responses.</p>		
Collection(s)	TBD		

Estimated Reduction:	TBD total burden hours (The total PRA impact is yet to be determined and individual PRA reduction initiatives will be reported separately in future ICB Data Call responses)
	0 total cost burden
Date of Completion:	Expected in February, 2018
Challenges:	

3.3.5. Federal Maritime Commission

3.3.6. Agency: Other FEDERAL MARITIME COMMISSION		Status: New
Office(s):	OFFICE OF CONSUMER AFFAIRS AND DISPUTE RESOLUTION SERVICES (CADRS)	
Initiative	INTAKE FORM FOR OMBUDS ASSISTANCE	
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input checked="" type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable" forms <input type="checkbox"/> Other	
Description:	<p>The Office of Consumer Affairs and Dispute Resolution Services (CADRS) provides alternative dispute resolution services to agency stakeholders and the shipping public to resolve ongoing regulatory and commercial international ocean shipping and cruise passenger disputes. Parties may use CADRS on a voluntary basis either prior to or after filing a formal action before the Commission or other fora. The provision of these services requires the collection of information from members of the shipping public. The intake form is designed to provide consumers and regulated entities with a simple mechanism for submitting requests for alternative dispute resolution services. The form submission will streamline the input process and avoid the reporting of irrelevant information and encourage requesters to provide shorter bulleted, rather than narrative, responses. The data collected will be used to render assistance to requesters in exploring resolution of ocean transportation related disputes. The intake form will be provided on the Commission's website. The form will prompt requesters for a description of key facts regarding the dispute, the desired resolution, the amount in controversy, party contact information, and supporting documentation. It is anticipated that the use of information for the purpose of providing alternative dispute resolution services may create cost savings for parties by avoiding costly administrative or court-based litigation. The proposed collection is voluntary.</p>	

Collection(s)	3072-XXXX - This collection is pending OIRA approval. FMC-32 - <i>Dispute Services Request – Cruise</i> FMC-33 – <i>Dispute Resolution Service Request - Cargo</i> Estimated Reduction: 689 requests annually x 20 minutes per intake = 230 burden hours Estimated Cost Burden: 230 burden hours x \$16.41 adjusted hourly rate = \$3,774.30 total
Estimated Reduction:	230 total burden hours 3,774.30 total cost burden
Date of Completion:	Expected in September, 2017
Challenges:	None

Agency: Other	FEDERAL MARITIME COMMISSION	Status: New
Office(s):	BUREAU OF CERTIFICATION AND LICENSING	
Initiative	LICENSING, FINANCIAL RESPONSIBILITY REQUIREMENTS, AND GENERAL DUTIES FOR OCEAN TRANSPORTATION INTERMEDIARIES AND RELATED FORMS	
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input checked="" type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable fileable" forms <input type="checkbox"/> Other	
Description:	<p>Information obtained under 46 CFR 515 and through Form FMC-18 is used to determine the qualifications of ocean transportation intermediaries (OTIs) and their compliance with shipping statutes and regulations and to enable the FMC to discharge its duties under the Shipping Act of 1984, as amended, by ensuring that Ocean Transportation Intermediaries (OTIs) maintain acceptable evidence of financial responsibility. The types of respondents are persons desiring to obtain a license to act as an OTI; under the Act, OTIs may be either an ocean freight forwarder, a non-vessel-operating common carrier, or both. The Commission adopted a Final Rule in Oct 2015 which added requirements to renew OTI licenses every three years; provided for single on-line renewals through the Commission's website; provided a single on-line location where the status of an OTI's compliance with the Commission's regulations can be quickly verified; and established an expedited hearing process for license denials, revocations or suspensions while continuing to provide applicants and licensees due process and the ability to appeal adverse decisions to the full</p>	

	Commission. The rule adapted FMC business processes to changing industry conditions, improved regulatory effectiveness, improved transparency, streamlined processes, and reduced regulatory burdens.
Collection(s)	3072-0018 FMC-18 – <i>Application for a License as and Ocean Transportation Intermediary</i> FMC-48 – <i>Ocean Transportation Intermediary Bond</i> FMC-48A – <i>Optional Rider for Additional NVOCC Financial Responsibility</i> FMC-67 – <i>Ocean Transportation Intermediary Insurance</i> FMC-68 – <i>Ocean Transportation Intermediary Guaranty</i> FMC-69 – <i>Ocean Transportation Intermediary Group Bond Form</i> FMC-69A – <i>Optional Rider for Additional NVOCC Financial Responsibility for Group Bonds</i> FMC-65 – <i>Foreign Based Unlicensed NVOCC Registration</i> Estimated Reduction: 1,250 person-hours (Form FMC-18) + 2,330 person-hours (Form FMC-48) + 4 person-hours (Form FMC-48A) + 21 person-hours (Form FMC-69) + 363 person-hours (Form FMC 65 New/Renewal) + 1,500 person-hours (License Renewal) = 5,469 burden hours Estimated Cost Burden: 4,102 burden hours x \$102.39 adjusted hourly rate (Transportation Manager) = \$420,004; 1,367 burden hours x \$43.68 (Clerical) = \$59,711 \$420,004 + \$59,711 = \$479,715 total costs <i>*Note: It is anticipated that internal processing of forms will be programmed by September 2019 or later*</i>
	Estimated Reduction: 5,469 total burden hours 479,715 total cost burden
Date of Completion:	Expected in September, 2019
Challenges:	

3.3.7. Federal Trade Commission

Agency:	Federal Trade Commission	Status:	In-Progress
Office(s):	Premerger Notification		
Initiative	Premerger Notification; Reporting and Waiting Period Requirements, e-filing		

Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable fileable" forms <input type="checkbox"/> Other
Description:	The Federal Trade Commission completed its project to streamline HSR forms in FY 2011, achieving half of the estimated reduction for this project reported in our 2016 burden reduction initiatives (a reduction of 2,856 burden hours, \$1,313,760 burden costs). The remaining estimated reduction will occur when the FTC is able to fully implement a cloud-based e-filing option for Hart-Scott-Rodino filers. This will allow remote e-filing, the ability for filers and the Agencies to store and retrieve filings from the cloud, and a streamlined review and retention process. The Department of Justice, which shares HSR enforcement responsibility, would also benefit from the new system. When complete, this will be the only option for HSR filing, eliminating all paper submissions
Collection(s)	<i>E-Filing the Form (3084-0005): 2,856-hour burden reduction, \$1,313,760 cost burden reduction.</i>
Estimated Reduction: 2,856 total burden hours	
\$1,313,760 total cost burden	
Date of Completion:	Expected in December, 2020
Challenges:	Technological aspects to implementation and related cost considerations will influence the outcome regarding contemplated electronic filing options.

3.3.8. National Credit Union Administration

Agency: Other	Status: In-Progress
Office(s):	Office of National Examinations and Supervision
Initiative	Electronic Submission of Corporate Credit Union Monthly Call Report

Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable fileable" forms <input type="checkbox"/> Other
Description:	NCUA modified the instrument for collecting call report data from corporate credit unions. This information was previously collected through a standalone application that required manual input of data by respondents. NCUA updated its systems to allow this information to be provided through an online portal in a way that allows respondents to automate the submission of this data.
Collection(s)	Corporate Credit Union Monthly Call Report (3133-0067)
Estimated Reduction: 564 total burden hours	
Date of Completion:	Expected in December, 2016
Challenges:	None

Agency: Other	Status: In-Progress
Office(s):	Office of Examination and Insurance
Initiative	Modernizing Data Collection from Credit Unions
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data

<input type="checkbox"/> Electronic “fillable fileable” forms <input checked="" type="checkbox"/> Other	
Description:	Credit Union Online is the primary portal through which federally insured credit unions (FICU) submit financial and organizational data to NCUA. In its current design, all FICUs navigate through the same data elements, regardless of their size or complexity. Part of NCUA’s Credit Union Online modernization effort proposes to create a customized interactive experience tailored to the unique needs of each FICU. In addition to reducing burden, this initiative is focused on enhancing data utility and improving user experiences.
Collection(s)	NCUA Call Report and Profile (3133-0004)
Estimated Reduction: 8,500+ (based on 5% of current burden of 172,920) total burden hours	
Date of Completion:	Unknown
Challenges:	Unknown

Agency: Other	Status: New
Office(s):	Office of the General Counsel
Initiative	Appeals Procedure; Streamline procedures governing appeals to the NCUA Board
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> “Short Form” options <input type="checkbox"/> Frequency of information <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic “fillable fileable” forms <input checked="" type="checkbox"/> Other
Description:	NCUA is proposing a more uniform procedure governing appeals to the Board. Current procedures for obtaining that review are embedded in and

	<p>scattered throughout NCUA's regulations and, in many cases, are slightly different from one another.</p> <p>The rule proposes to consolidate the information collection requirements of the informal appeals process under new part 746; as such, NCUA intends to remove the burden allocated to the appeals process currently under several existing collections upon promulgation of this new rule.</p>
Collection(s)	<ul style="list-style-type: none"> • Payments on Shares by Public Units and Nonmembers, 12 CFR 701.32 (3133-0114); 2 hours • Organization and Operations of Federal Credit Unions – Loan Participation, 12 C.F.R. 701.22 (3133–0141) - 128 hours • Purchase, Sale and Pledge of Eligible Obligations, 12 CFR 701.23 (3133–0127); 40 hours, • Designation of Low Income Status, 12 CFR 701.34 (3133–0117); 1,488 hours • Investment and Deposit Activities, 12 CFR Part 703 (3133–0133); 5 hours • Community Development Revolving Loan Fund - Loan and Grant Programs (3133–0138); 15 hours • Minority Depository Institution Preservation Program (3133-0195) 21 hours
Estimated Reduction:	1,259 total burden hours
Date of Completion:	Expected in October, 2017
Challenges:	None

Agency:	Other	Status:	New
Office(s):	Office of Examination and Insurance		
Initiative	Eliminating Waiver Requirements Associated with Portfolio Limits		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other		
Description:	<p>Section 701.21(h) establishes limits at federally insured credit unions on purchase of interests in indirect vehicles loans serviced by any particular third-party servicer. The rule allows a credit union to apply for a waiver for the limits and must demonstrate to the NCUA that it understands the risks and has taken appropriate measure to monitor and protect itself against the risk.</p>		

	NCUA proposes to eliminate the portfolio limits and related waiver provision. A single, comprehensive third-party due diligence regulation would address the minimum expectations for credit unions using any servicers.
Collection(s)	Loans to Members and Lines of Credit to Members, 12 CFR 701.21 and 741 (3133-0092)
Estimated Reduction: 75 total burden hours	
Date of Completion:	Expected in September, 2018
Challenges:	Recommended by the Regulatory Reform Taskforce; rule changes

3.3.9. Nuclear Regulatory Commission

Agency: Nuclear Regulatory Commission		Status: In-Progress
Office(s):	Office of Nuclear Reactor Regulation	
Initiative	Incorporation by Reference of Edition and Addenda to American Society of Mechanical Engineers (ASME) Codes and New and Revised ASME Code Cases into 10 CFR 50.55a	
Reduction Area(s): (Check all that apply)	<input type="checkbox"/> "Short Form" options <input checked="" type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input type="checkbox"/> Other	
Description:	The NRC believes that this regulatory action would improve the effectiveness of future licensing actions. This final action would allow licensees to apply the Code Cases listed in the Regulatory Guides as alternatives to requirements in the ASME Boiler and Pressure Vessel Code and ASME Operation and Maintenance Code for the design, construction, in-service inspection, and in-service testing of nuclear power plant	

	components without a request for the use of alternatives or an exemption. This would help ensure that NRC actions are effective, efficient, realistic, and timely by eliminating the need for the NRC review of plant specific requests for alternatives in accordance with 10 CFR 50.55a (z).
Collection(s)	10 CFR Part 50, Domestic Licensing of Production and Utilization Facilities (3150-0011) – 14,440 burden reduction, \$3,800,000 cost burden reduction
Estimated Reduction:	14,440 total burden hours \$ 3,800,000 total cost burden
Date of Completion:	Expected in July, 2017
Challenges:	None

Agency: Nuclear Regulatory Commission		Status: In-Progress
Office(s):	Nuclear Reactor Regulation	
Initiative	Improved method used to enter required data on NRC Form 366, "Licensee Event Report."	
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic "fillable fileable" forms <input type="checkbox"/> Other	

Description:	<p>The NRC provides Form 366 to its power reactor licensees for the purpose of submitting information on events for which reports are required under 10 CFR Part 50.73 (Licensee Event Reports). 10 CFR Part 50.4 contains requirements for how licensees submit reports to the NRC, and allows licensees to mail or hand deliver paper copies of reports to the NRC, or to email an electronic copy of Form 366 via NRC's electronic information exchange (EIE). The data contained in Form 366 is therefore unstructured and has to be coded in order to be of use to NRC staff. At the Document Control Desk, a licensee event report (LER) must be identified by its document type and docket number in order to be entered into ADAMS, the agency's records management system. Once the LER is in ADAMS, a contractor reviews the remaining data and separates it into fields in an online, searchable, and publicly available database.</p> <p>The NRC is working to develop a less burdensome means for licensees to submit LERs, using online data entry. This would streamline the licensees' submission process and ensure all required information is included.</p>
Collection(s)	NRC Form 366, (3150-0104) – 350 burden hour reduction, \$92,000 cost burden reduction
Estimated Reduction:	<p>350 total burden hours</p> <p>\$92,000 total cost burden</p>
Date of Completion:	Expected in March, 2019
Challenges:	<p>Regardless of what options NRC is able to offer licensees in order to streamline the Form 366 submittal process, licensees would still have the option of several legacy submittal methods as stipulated in 10 CFR 50.4. Licensees may choose not to use the upgraded electronic submission format.</p>
Agency: Nuclear Regulatory Commission	
Status: In-Progress	
Office(s):	Office of the Chief Information Officer

Initiative	Expand the use of Electronic “fillable fileable” forms and improve the ability of respondents to submit information electronically.
Reduction Area(s): <i>(Check all that apply)</i>	<input checked="" type="checkbox"/> “Short Form” options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input checked="" type="checkbox"/> Electronic “fillable fileable” forms <input type="checkbox"/> Other
Description:	<p>Review existing collections to identify challenges to electronic submission of information to explore the feasibility of short forms and new electronic fillable, fileable forms. Where necessary, recommendations for regulation changes will be provided to the Rulemaking Working Group for prioritization.</p> <p>Recommendations for information technology solutions will be entered into the information technology governance process for action.</p> <p>Implement policies to require the use of electronic forms for new information collections. For clearance renewals and extensions, implement policies to require electronic forms when practicable.</p> <p>2017 Update – Selected forms have been identified as having potential burden savings if converted to an electronic format. Additional discussions between program offices and the Office of the Chief Information Officer are needed to identify options for form conversion.</p>
Collection(s)	All 3150 information collections
Estimated Reduction:	TBD- total burden hours
	TBD - total cost burden
Date of Completion:	Expected in July, 2018

Challenges:	Availability of information technology (IT) resources to implement IT solutions. The time required to implement regulatory changes needed to support the use of electronic forms.
Agency: Nuclear Regulatory Commission Status: New	
Office(s):	Office of Nuclear Reactor Regulation
Initiative	Reduction in information requested annually to support the Reactor Operator examination program
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> “Short Form” options <input type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic “fillable fileable” forms <input checked="" type="checkbox"/> Other
Description:	<p>The Code of Federal Regulations, 10 CFR 50.54, requires that all manipulations of controls of any facility be performed only by a licensed reactor operator, licensed senior reactor operator or a trainee under the direct supervision of a licensed reactor operator or a licensed senior reactor operator. The licensing of reactor operators or senior reactor operators is performed by the NRC in accordance with the requirements of 10 CFR Part 55.</p> <p>In order to meet the needs of the nuclear industry for licensed reactor operators and senior reactor operators, The NRC annually requests all commercial power reactor licensees and applicants for an operating license to voluntarily send to the NRC: (1) their projected number of candidates for operator licensing initial examinations, (2) the estimated dates of the examinations, and (3) information on whether the examinations will be facility developed or NRC developed. NRC uses an official form, NRC Form 536, for the voluntary reporting of this operator licensing examination data.</p> <p>After analysis by the NRC staff, the request for estimates related to the Generic Fundamentals Examination is being deleted since the information is not needed for the development of the operator licensing budget and examination scheduling. This is a 25% reduction in the burden associated with this annual data call.</p>
Collection(s)	NRC Form 536, “Operator Licensing Examination Data” (3150-0131) - 25 total burden hours annually, \$6,600 total cost burden

Estimated Reduction:	25 total burden hours annually
	\$6,600 total cost burden
Date of Completion:	Expected in September, 2017
Challenges:	None

Agency: Nuclear Regulatory Commission		Status: New
Office(s):	Office of Administration	
Initiative	Retrospective Review of Administrative Regulations	
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input checked="" type="checkbox"/> Frequency of information collection <input checked="" type="checkbox"/> Record retention requirements <input checked="" type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other	
Description:	As a complement to NRC's existing strategy for retrospective review reviews of existing significant regulations, the staff will conduct a review to identify outdated or duplicative administrative requirements that can be either removed from NRC's regulations in Title 10 of the Code of Federal Regulations (10 CFR) or amended to be more effective or less burdensome, while still achieving the agency's regulatory objectives. For the purpose of this review, administrative regulations are those that impose recordkeeping and reporting requirements or address areas of agency organization, procedure, or practice.	
Collection(s)	TBD	

Estimated Reduction:	TBD total burden hours
	TBD total cost burden
Date of Completion:	Expected in September, 2020
Challenges:	Availability of resources to conduct the retrospective review and subsequent rulemakings.

3.3.1. *Department of Treasury: Office of the Comptroller of the Currency*

Agency:	Department of the Treasury	Status:	New
Office(s):	Office of the Comptroller of the Currency		
Initiative	Management Official Interlocks Asset Thresholds		
Reduction Area(s): <i>(Check all that apply)</i>	<input type="checkbox"/> "Short Form" options <input checked="" type="checkbox"/> Frequency of information collection <input type="checkbox"/> Record retention requirements <input type="checkbox"/> Re-use of already collected data <input type="checkbox"/> Electronic "fillable fileable" forms <input checked="" type="checkbox"/> Other		
Description:	<p>The Depository Institution Management Interlocks Act (DIMIA) (12 U.S.C. § 3201 et seq.) and the agency's rule interpreting the statute (12 C.F.R. § 26.3(c)) set rules that prohibit a management official of a depository organization with total assets exceeding \$2.5 billion (or any affiliate of such organization) from serving as a management official of an unaffiliated depository organization with total assets exceeding \$1.5 billion (or any affiliate of such organization). National banks and federal savings associations may apply to the OCC for exemption from the prohibitions. The OCC uses the application to grant appropriate exemptions that foster competition between unaffiliated institutions.</p> <p>The OCC anticipates amending its DIMIA rule to increase the prohibition's asset thresholds based on inflation or market changes. The increased thresholds would likely result in fewer management officials being subject to the management interlock prohibition and a reduction in burden.</p>		
Collection(s)	Licensing Manual – OMB Control No. 1557-0014		

Estimated Reduction:	TBD
Date of Completion:	TBD
Challenges:	N/A

Appendix A: Burden Reductions and Increases

Reginfo.gov gives the public access to current and historical data on information collection reviews (ICRs) by the Office of Information and Regulatory Affairs (OIRA) under the Paperwork Reduction Act. In addition to the detailed information available for every collection, Reginfo.gov lists a regularly updated collection of PRA inventory, PRA review, and burden reduction and increase reports in XML format (Extensible Markup Language), a language to describe structured data. In keeping with OIRA's policy of transparency and accessibility, XML reports constitute a machine-readable format. For this data, please visit the "XML Reports" page at Reginfo.gov: <http://www.reginfo.gov/public/do/PRAXML>.

Appendix B: Violations

As discussed in Chapter 2, OMB reports two categories of violations of the Paperwork Reduction Act: (1) collections in use without OMB approval and (2) lapses in renewal or discontinuation. Violations falling under the first category, collections in use without OMB approval, occur when the agency fails to submit the information collection request to OMB before it begins to collect information. Violations falling under the second category, lapses in renewal or discontinuation occur when the agency fails to submit its request to OMB to renew (or discontinue) its approval for a collection prior to the expiration date.

OMB now more effectively identifies all three types of lapses in renewal or discontinuation: (1) all collections that expired during FY 2016 and were reinstated after the expiration date during FY 2016; (2) collections that expired in previous fiscal years and were reinstated during FY 2016; and (3) collections that expired during FY 2016 and were not renewed or discontinued before the expiration date in FY 2016. OMB reports the list of FY 2016 Violations below.

B.1. List of Violations

Table 4: Collections in use without an OMB control number

Agency	OMB Control #	Title
Consumer Financial Protection Bureau		
	3170-0056	Regulation F: Fair Debt Collection Practices Act, State Application for Exemption (12 CFR 1006.2)
Department of Agriculture		
	0579-0056	Horse Protection Regulations
	0579-0289	Citrus from Peru
	0579-0363	Citrus Greening and Asian Citrus Psyllid; Quarantine and Interstate Movement Regulations
	0584-0609	WIC Participant and Program Characteristics Study
	xxxx-xxxx	Needs Assessment for Aquaculture 2020
	xxxx-xxxx	Needs Assessment for Beef 2017
	xxxx-xxxx	Needs Assessment for Goats 2019
Department of Defense		
	0702-0129	Exchange Official Personnel Folder - Privilege Card
	0702-0130	Exchange Retail Sales Transaction Data Surveys
	0702-0131	Employee Travel Files
	0702-0132	Application for Temporary Food Establishment
	0702-0133	Exchange Employment Applications

0702-0134	Department of Defense (DoD) Passport and Passport Agent Services, Authorization to Apply for a "No-Fee" Passport and/or Request for Visa
0702-0135	Exchange Security Clearance Process for Contractor/Vendor Personnel
0702-0136	Army Career Tracker (ACT)
0702-0137	Exchange Accounts Receivable Files
0702-0138	Exchange Accidents/Incidents Reports
0702-0139	Exchange Employee Management and Pay System
0704-0546	Defense User Registration System (DURS) Records
0704-0548	DISAM Information Technology Mission System (DISM)
0704-0551	Office of the Secretary of Defense (OSD) Confidential Conflict-of-Interest Statement for Advisory Committee Members
0704-0555	Security Assistance Network (SAN)
0704-0556	Spouse Education and Career Opportunities Program
0704-0558	GlobalNet Collection
Department of Health and Human Services	
0920-1090	Formative and Summative Evaluation of the National Diabetes Prevention Program
0920-1102	Information Collection for Tuberculosis Data from Panel Physicians
0920-1112	FoodNet Population Survey
0920-1132	Performance Progress and Monitoring Report
0920-1149	Persistence of Ebola Virus in Body Fluids of Ebola Virus Disease Survivors in Sierra Leone
0920-xxxx	Airline and Maritime Conveyance Manifest Orders
0920-xxxx	American Nurses Association - Identifying Nursing Education and Training Needs
0920-xxxx	Building Capacity of the Public Health System to Improve Population Health through National, Nonprofit Organizations
0920-xxxx	CDC Undergraduate Scholars Program (CUPS), James A. Ferguson Infectious Diseases Graduate Fellowship (Ferguson) and Student Coordinating Center (SCC) Program Evaluation
0920-xxxx	Epi-Aid - Healthcare-associated outbreak of Legionnaires' Disease among veterans—Quincy, Illinois 2016
0920-xxxx	Epi-Aid investigation of infections of vaccinia virus among dairy cattle and farm workers in Colombia
0920-xxxx	Epi-Aid Outbreak Investigation Histoplasmosis Dominican Republic
0920-xxxx	Exploratory Qualitative Study of HIV Transmission in Southeastern Indiana, 2015
0920-xxxx	Group A streptococcal invasive disease investigation, Alaska, October 2016

0920-xxxx	Increase in the number of severe cases of influenza H1N1pdm09 in Arizona during the 2015-16 influenza season
0920-xxxx	Lyme and Other Tickborne Diseases Prevention Studies (LTDPS): Knowledge, Attitudes, and Practices Regarding Prevention in Lyme disease Endemic Areas
0920-xxxx	New York City ELC Cooling Tower Evaluation
0920-xxxx	Opioid-related Overdose Deaths in Massachusetts: Investigation of Unknown Community and Individual Risk Factors
0920-xxxx	Philadelphia ELC Cooling Tower Evaluation
0920-xxxx	SC Dept of Health and Environmental Control -Group A Streptococcal - long- term care facility
0920-xxxx	Specific Drug-Use Risk Factors Associated with Hepatitis C Virus Infection — Eastern Tennessee, 2016
0920-xxxx	Technical Assistance for Local, State, and Federal Communication During Public Health Emergencies
0920-xxxx	Uganda Acute Febrile Illness (AFI) study
0920-xxxx	Undetermined risk factors and mode of transmission for bloodstream infections among hemodialysis patients—Missouri, 2016
0920-xxxx	Undetermined risk factors for Mumps among Marshallese-Northwest Arkansas, 2016
0920-xxxx	Undetermined risk factors for persons at high risk of HIV infection — New York City, 2017
0920-xxxx	Undetermined risk factors for severe human metapneumovirus infection among children — North Dakota, 2016
0920-xxxx	Undetermined source of CP-CRE among patients without usual risk factors -- Kentucky, 2016
0925-0735	Scientific Information Reporting System (SIRS) An online reporting system for the collection of supplemental information to annual Research Performance Progress Report (RPPR) submissions
0925-0738	Application Forms for the NIDA Summer Research Internship Program
Department of Justice	
1110-0069	Flash/Cancellation/Notice
Department of Labor	
1293-0014	Veterans™ Employment and Training Service Competitive Grant Programs Reporting
Department of State	
1405-0222	Courier Drop-Off List for U.S. Passport Applications
Department of the Interior	

	1024-0276	Nomination of Properties for Designation as National Historic Landmarks, 36 CFR 65
	1024-0277	National Park Service Presidentâ€™s Park National Christmas Tree Music Program Application
	1028-0116	Alaska Beak Deformity Observations
	1028-0119	Earth Explorer User Registration Service
Department of Veterans Affairs		
	2900-0839	PACT: VA Form 10-10130 From War To Home - Audience Feedback Questionnaire
	2900-0840	PACT Using Peer Mentors to Support PACT Team Efforts to Improve Diabetes 10-10138
	2900-0843	Veterans Health Administration Homeless Programs - Project Chaleng (Community Homelessness Assessment, Local Education and Networking Groups) for Veterans
	2900-0846	VA-FSC VENDOR FILE REQUEST FORM 10091
	2900-0848	PACT Evaluating Peer Notifications to Improve Statin Medication Adherence among Patients with Coronary Artery Disease
National Aeronautics and Space Administration		
	2700-0160	Safety and Health Measures and Mishap Reporting (NFS1852.223-70)
	2700-0163	NASA Complaint of Discrimination Form 1355
	2700-0164	NASA DEVELOP National Program

Table 5: Collections that expired and were reinstated in FY 2016 (lapses in renewal or discontinuation)

Agency	OMB Control #	Title
Commodity Futures Trading Commission		
	3038-0079	Conflict of interest Policies and Procedures by Swap Dealers and Major Swap Participants
Consumer Financial Protection Bureau		
	3170-0027	Mortgage Servicing Amendment (Regulation X)
	3170-0028	Mortgage Servicing Amendment (Regulation Z)

**Corporation for
National and
Community
Service**

3045-0010	AmeriCorps*National Civilian Community Corps Service Project Application
3045-0014	Voucher and Payment Request Form
3045-0030	Forbearance Request for National Service Form
3045-0053	Interest Accrual Form
3045-0054	AmeriCorps Member Application
3045-0086	President's Volunteer Service Award
3045-0142	Childcare Application

**Department of
Agriculture**

0579-0101	Scrapie in Sheep and Goats; Interstate Movement Restrictions and Indemnity Program
0579-0284	Importation of Baby Corn and Baby Carrots from Zambia
0579-0286	Importation of Tomatoes from Certain Central American Countries
0579-0357	Importation of Wooden Handicrafts from China
0579-0383	Irradiation Treatment; Location of Facilities in the Southern United States

**Department of
Commerce**

0648-0304	High Seas Fishing Permit Application, Logbook Reporting and Vessel Marking
0693-0033	Generic Clearance for Program Evaluation Data Collections
0694-0091	Chemical Weapons Convention (CWC) Declaration and Report Handbook and Forms

**Department of
Defense**

0703-0029	Application for Commission in the U.S. Navy/U.S. Naval Reserve
0703-0054	United States Naval Academy Sponsor Application
0704-0030	Disposition of Remains - Reimbursable Basis Request for Payment of Funeral and/or Internment Expenses
0704-0194	Department of Defense Security Agreement", "Appendage to Department of Defense Security Agreement", "Certificate Pertaining to Foreign Interests
0704-0261	Department of Defense Standard Tender of Freight Services

0704-0392	Technical Assistance for Public Participation (TAPP) Application
0704-0395	Pentagon Reservation Parking Permit Application
0704-0449	National Language Service Corps Pilot
0704-0466	Science, Mathematics and Research for Transformation (SMART) Scholarship Program
0704-0478	Enhanced Safeguarding and Cyber Incident Reporting of Unclassified DoD Information Within Industry
0720-0003	Statement of Personal Injury - Possible Third Party Liability Champus
0720-0017	Diagnosis Related Groups (DRG) Reimbursement (Two Parts)
0720-0020	Application for CHAMPUS-Provider Status: CORPORATE SERVICES PROVIDER
0720-0031	TRICARE: Standard Survey of Civilian Providers
0720-0041	Defense Medical Human Resources System Internet (DMHRSi)
Department of Energy	
1910-5155	Historic Preservation for Energy Efficiency Programs
Department of Health and Human Services	
0925-0675	Generic Clearance for Methodological Studies in the Population Assessment of Tobacco and Health (PATH) Study (NIDA)
0938-0921	Manufacturer Submission of Average Sales Price (ASP) Data for Medicare Part B Drugs and Biological and Supporting Regulations
0970-0043	Refugee Data Submission System for Formual Funds Allocations
0970-0307	Court Improvement Program-New Grants
0985-0024	Performance Data Collection for SMP Program Outcome
0985-0033	Developmental Disabilities Council Program Performance Report
Department of Homeland Security	
1601-0005	REAL ID: Minimum Standards for Driver's Licenses and Identification Cards Acceptable by Federal Agencies

1601-0016	Department of Homeland Security(DHS)Cybersecurity Education Office (CEO) National Initiative for Cybersecurity Careers and Studies (NICCS) Cybersecurity Training and Education Catalog
1625-0001	Marine Casualty Information & Periodic Chemical Drug and Alcohol Testing of Commercial Vessel Personnel
1625-0004	USCG Academy Application and Supplemental Forms
1625-0006	Shipping Articles
1625-0009	Oil Record Book for Ships
1625-0016	Welding and Hot Works Permits; Posting of Warning Signs
1625-0018	Official Logbook
1625-0041	Various International Agreement Pollution Prevention Certificates and Documents, and Equivalency Certificates
1625-0042	Requirements for Lightering of Oil and Hazardous Material Cargoes
1625-0065	Offshore Supply Vessels -- Title 46 CFR Subchapter L (Consolidation with 1625-0055)
1625-0067	Claims Under the Oil Pollution Act of 1990
1625-0104	Barges Carrying Bulk Hazardous Materials
1625-0112	Enhanced Maritime Domain Awareness via Electronic Transmission of Vessel Transit Data
1640-0015	DHS S&T Project 25 (P25) Compliance Assessment Program (CAP)
1651-0076	Customs Modernization Act Record Keeping Requirements
Department of Housing and Urban Development	
2502-0582	Multifamily Housing Procedures for Projects Affected by Presidentially-Declared Disasters
2577-0278	Rental Assistance Demonstration (RAD) Application Form
Department of Justice	
1105-0092	September 11th Victim Compensation Fund Claimant Registration Form
1105-0094	Special Deputation Forms
1121-0024	Claim for Death Benefits
1121-0025	Report of Public Safety Officers' Death
1121-0166	Report of Public Safety Officers' Permanent and Total Disability
1121-0243	Community Partnership Grants Management System (GMS)

1121-0321	National Institute of Justice Compliance Testing Program
1121-0325	Research to support the National Crime Victimization Survey (NCVS)
1121-0335	National Motor Vehicle Title Information System (NMVTIS)
1121-0352	Prison Rape Elimination Act
1190-0018	Office of Special Counsel for Immigration-Related Unfair Employment Practices Charge Form
Department of State	
1405-0141	Annual Brokering Report
Department of the Treasury	
1505-0250	Application and Reports for the Direct Component and the Centers of Excellence Research Grants Program of the Gulf RESTORE Program
1513-0092	Marks on Wine Containers, TTB REC 5120/3
1513-0110	Recordkeeping for Tobacco Products Removed in Bond from a Manufacturer's Premises for Experimental Purposes -- 27 CFR 40.232(e)
1545-0169	Form 4461, Application for Approval of Master or Prototype Defined Contribution Plan; Form 4461-A, Application for Approval of Master or Prototype Defined Benefit Plan; Form 4461-B,
1545-0216	International Boycott Report
1545-0233	Application for Automatic Extension of Time to File Certain Business Income Tax, Information, and Other Returns
1545-0328	APPLICATION FOR CERTIFICATE DISCHARGING PROPERTY SUBJECT TO ESTATE TAX LIEN
1545-0364	EMPLOYEE WAGE STATEMENT
1545-1002	Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund
1545-1029	Low-Income Housing Credit Disposition Bond or Treasury Direct Account Application
1545-1146	Applicable Conventions Under the Accelerated Cost Recovery System PS-54-89 (TD 8444 -Final)
1545-1221	EE-147-87 (Final) Qualified Separate Lines of Business
1545-1231	Final (T.D. 9436) Tax Return Prep/IA-38-90 Final Regulations (T.D. 8382) Penalty on Income Tax Return Preparers Who Understate Taxpayer's Liability on a Federal Income Tax Return or a Claim for Refund

1545-1347	FI-7-94 and FI-36-92 (Final) Arbitrage Restrictions on Tax-Exempt Bonds
1545-1359	Information Reporting by Passport and Permanent Residence Applicants INTL-978-86 (NPRM)
1545-1548	Rev. Proc. 2013-30, Uniform Late S Corporation Election Revenue Procedure
1545-1590	REG-251698-96 (TD 8869 - Final) Subchapter S Subsidiaries
1545-1617	REG-124069-02 (Final) Section 6038 - Returns Required with Respect to Controlled Foreign Partnerships; REG-118966-97 (Final) Information Reporting with Respect to Certain Foreign Partnership
1545-1641	Rev. Proc. 99-17--- Mark to Market Election for Commodities Dealers and Securities and Commodities Traders
1545-1647	Revenue Procedure 2001-21 Debt Roll-Ups
1545-1673	Employee Plans Compliance Resolution System (R.P. 2015-27, R.P. 2015-28) - including Forms 8950, 8951, 14568, 14568-A thru I
1545-1684	Revenue Procedure 2009-14, Prefiling Agreements Program (Superseded 2007-17)
1545-1788	Taxpayer Advocacy Panel (TAP) Membership Application Process; Forms 13013, 13013-D, 14388
1545-1799	Notice 2002-69, Interest Rates and Appropriate Foreign Loss Payment Patterns For Determining the Qualified Insurance Income of Certain Controlled Corporations under Section 954(f)
1545-1856	Consent To Disclosure of Return Information
1545-1950	Return by a Shareholder Making Certain Late Elections To End Treatment as a Passive Foreign Investment Company
1545-2144	Form 13997, Validating Your TIN and Reasonable Cause
1545-2187	Form 8955-SSA, Annual Registration Statement Identifying Separated Participants With Deferred Vested Benefits
1559-0036	Capital Magnet Fund Application
Department of Transportation	
2105-0520	Uniform Administrative Requirements for Grants and Agreements to State and Local Governments and with Institution of Higher Education, Hospitals and Other Non-Profit Organizations
2105-0551	Reporting Requirements for Disability-Related Complaints Counseling Information Form; Regional Center Intake Form and Quarterly (formerly Monthly) Report of Operations Form.
2105-0554	Form.

	2105-0555	Short-term Lending Program Online Application
	2105-0556	Individual Complaint of Employment Discrimination
	2105-0563	National Infrastructure Investment Grant Program
	2105-0568	On-Line Complaint Form for Service-Related Issues in Air Transportation
	2127-0006	Fatality Analysis Reporting System (FARS)
Department of Veterans Affairs		
	2900-0208	Daily Log (Contract Progress Report - Formal Contract), Architect-Engineer Fee Proposal and Contract Progress Report
DOD/GSA/NASA (FAR)		
	9000-0075	Government Property - Sections 45.602-1; 52.245-1(f)(1)(ii); 52.245-1(f)(1)(iii); etc
Environmental Protection Agency		
	2040-0008	OCEAN DUMPING REGULATION - REPORTS AND RECORDKEEPING TO OBTAIN PERMIT, REQUEST DESIGNATION, AND REPORT ON PERMITTED DUMPING ACTIVITIES
	2060-0170	Recordkeeping and Periodic Reporting of the Production, Import, Export, Recycling, Destruction, Transshipment, and Feedstock Use of Ozone-Depleting Substances (Renewal)
	2060-0320	Importation of Nonroad Engines and Recreational Vehicles (Renewal)
Export-Import Bank of the United States		
	3048-0013	Export-Import Bank of the U.S. Application for Long-Term Loan or Guarantee
	3048-0014	Export-Import Bank of the U.S. Application for Medium-Term Insurance or Guarantee
	3048-0016	Export-Import Bank of the U.S. Application for Issuing Bank Credit Limit (IBCL) Under Bank Letter of Credit Policy
	3048-0018	Application for Exporter Short-Term Single-Buyer Insurance
	3048-0019	Export-Import Bank of the United States Application for Financial Institution Short-Term, Single-Buyer Insurance
	3048-0021	Report of Premiums Payable for Financial Institutions Only
	3048-0023	Export-Import Bank of the United States Short-Term Multi-Buyer Export Credit Insurance Policy Application

	3048-0031	Application for Short-Term Express Credit Insurance Policy
	3048-0032	Application for Approved Finance Provider
Federal Mediation and Conciliation Service		
	3076-0004	Notice to Mediation Agency
Grants.gov		
	4040-0002	SF-424 Mandatory (M)
	4040-0003	SF-424 Short Organizational (Short)
	4040-0006	SF-424A Budget Information -- Non-Construction
	4040-0007	SF-424B Assurances -- Non-construction Programs
	4040-0008	SF-424C Budget Information -- Construction Programs
	4040-0011	SF-271: Outlay Report and Request for Reimbursement for Construction Programs
	4040-0012	SF-270: Request for Advance or Reimbursement
	4040-0013	Disclosure of Lobbying Activities
	4040-0014	Federal Financial Report
	4040-0016	Real Property Status Report, Standard Form (SF-XXXX)
Merit Systems Protection Board		
	3124-0009	E-Appeal/US Merit Systems Protection Board Appeal Form
National Aeronautics and Space Administration		
	2700-0092	Cooperative Agreements with Commercial Firms
	2700-0135	Kennedy Education Experiences Program (KEEP)
Small Business Administration		
	3245-0084	Disaster Home/Business Loan Inquiry Record
Social Security Administration		
	0960-0059	Work Activity Report--Employee
	0960-0425	Coverage of Employees of State and Local Governments
	0960-0648	Credit Card Payment Form

Table 6: Collections that expired and were not reinstated in FY 2016 (lapses in renewal or discontinuation)

Agency	OMB Control #	Title
Corporation for National and Community Service		
	3045-0033	Project Progress Report - National Senior Service Corps (Senior Corps)
	3045-0152	Senior Corps Performance Measure Aggregation Tool
Department of Agriculture		
	0579-0052	Phytosanitary Export Certification
	0579-0214	Importation Pork-Filled Pasta
	0579-0228	Importation of Live Poultry, Poultry Meat, and Other Poultry Products from Specified Regions
	0579-0302	Importation of Shelled Peas from Kenya
	0579-0312	Importation of Mangoes from India
	0579-0358	Importation of Papaya from Columbia and Ecuador
	0579-0440	Conditions for Payment of Highly Pathogenic Avian Influenza Indemnity Claims
Department of Defense		
	0701-0127	USAF Museum System Volunteer Application/Registration
	0702-0128	Army Sex Offender Information
	0704-0006	Request for Verification of Birth
	0704-0382	End Use Certificate
	0704-0403	Customer Satisfaction Surveys - Generic Clearance
	0704-0453	Application for a Review by the Physical Disability Board of Review
	0704-0456	DoDEA Sure Start Parent Questionnaire
	0704-0460	Synchronized Predeployment and Operational Tracker (SPOT) System
	0704-0467	Post Government Employment Advice Opinion Request
	0704-0470	MEPS Customer Satisfaction Survey
	0704-0472	Voice of Industry Survey
	0704-0486	DoD Information Assurance and Scholarship Program (IASP)
	0704-0495	Department of Defense Education Activity (DoDEA) Student Registration
	0704-0496	Joint Personnel Adjudication System (JPAS)

0704-0501	Postsecondary Education Complaint Intake System
0704-0536	Central Registry: Child Maltreatment and Domestic Abuse Incident Reporting System
0710-0009	Description of Vessel, Description of Operation
0710-0014	Estuary Habitat Restoration Program Project Application
0710-0015	Assessing Human Response to Military Impulse Noise
0720-0001	Health Insurance Claim Form
0720-0054	ACAM2000® Myopericarditis Registry
0730-0008	Application for Former Spouse Payments from Retired Pay
0730-0011	Physician Certificate for Child Annuitant
0790-0004	Revitalizing Base Closure Communities, Economic Development Conveyance Annual Financial Statement
0790-0006	Application for Investment Assistance
Department of Education	
1830-0575	Performance Partnership Pilots Application
Department of Energy	
1910-5144	Foreign Travel Management System
Department of Health and Human Services	
0923-0045	Registration of Individuals Displaced by Hurricanes Katrina and Rita (Pilot Project)
0925-0538	Health Information National Trends Survey 4 (HINTS 4) (NCI)
0925-0610	NEXT Generation Health Study - NICHD
0925-0665	Process Evaluation of the NIH Director's Early Independence Award Program (EIA)
0925-0674	CLINICAL MYTH-TORIES: A VIDEO GAME ABOUT CLINICAL STUDIES (NHLBI)
0925-0685	Web-based Media Literacy Parent Training for Substance Use Prevention in Rural Locations
0925-0715	Human Influenza Surveillance of Health Care Centers in the United States and Taiwan
0938-0023	Attending Physicians Statement and Documentation of Medicare Emergency and Supporting Regulations in 42 CFR Section 424.103
0938-0301	Medicare Provider Cost Report Reimbursement Questionnaire and Supporting Regulations in 42 CFR 413.20, 413.24, and 415.60

0938-0338	Conditions of Participation for Portable X-ray Suppliers and Supporting Regulations in 42 CFR Sections 486.104, 486.106, 486.110
0938-0373	Medicare Participating Physician or Supplier Agreement
0938-0600	Medicare Credit Balance Reporting Requirements and Supporting Regulations in 42 CFR 405.371, 405.378, and 413.20
0938-0697	Medicaid Report on Payables and Receivables
0938-0866	ICRs Contained in 45 CFR Part 162; HIPAA Standards for Electronic Transactions
0938-0897	Notification of FIs and CMS of co-located Medicare providers and Supporting Regulations in 42 CFR 412.22 and 412.533
0938-0983	Medicare EDI Enrollment Form and EDI Registration
0938-0999	Insurance Common Claims Form and Supporting Regulations at 42 CFR Part 424 Subpart C
0938-1029	Worksheet for Recording Results of Medicare Site Visits of Independent Diagnostic Testing Facilities
0938-1135	Medicare Registration Application (CMS-855O)
0938-1140	Skilled Nursing Facility (SNF) Minimum Data Set (MDS) 3.0 Nursing Home and Swing Bed Prospective Payment System (PPS) Item Sets
0938-1149	Version 5010/ICD-10 Industry Readiness Assessment
0938-1166	Health Plan Identifier, Other Entity Identifier, and Change to National Provider Identifier Requirements
0938-1178	Medicare Enrollment Application for Physician and Non-Physician Practitioners
0938-1181	Durable Medical Equipment Face-to-Face Requirements
0970-0034	ORR-3 Refugee and Entrant Unaccompanied Minor Placement Report /ORR-4 Refugee and Entrant Unaccompanied Minor Placement Report
0970-0160	Procedures for Requests from Tribal Child Care Lead Agencies to Use Child Care and Development Fund (CCDF) for Construction or Major Renovation of Child Care Facilities
0970-0247	Temporary Assistance for Needy Families Quarterly Financial Report
0970-0431	Child Welfare Information Gateway Follow-up Survey
0970-0432	Appeal Procedures for Head Start Grantees and Current or Prospective Delegate Agencies
0985-0026	Financial Status Reporting Form for Program of State Council on Developmental Disabilities

**Department of
Homeland
Security**

1625-0040	Application for Merchant Mariner Credential (MMC), Merchant Mariner Certificate Evaluation Report, Small Vessel Sea Service Form, DOT/USCG Periodic Drug Testing Form, Merchant Mariner Evaluation of Fi
1625-0066	Vessel and Facility Response Plans (Domestic and International), and Additional Response Requirements for Prince William Sound Alaska
1625-0118	Various International Agreement Certificates and Documents
1660-0017	Public Assistance Program
1670-0019	Sector Outreach and Programs Division Online Meeting Registration Tool
1670-0028	Technical Resource for Incident Prevention (TRIPwire) User Registration

**Department of
Housing and
Urban
Development**

2577-0006	Public Housing Agency (PHA), Lease Requirements, Recordkeeping Requirements
2577-0216	Moving to Work Demonstration

**Department of
Justice**

1117-0052	National Drug Threat Survey
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**Department of
the Treasury**

1505-0080	Post-Contract Award Information
1505-0220	Electronic Capability Statement
1505-0251	MyRA Retirement Survey
1513-0059	Usual and Customary Business Records Relating to Tax-Free Alcohol (TTB REC 5150/3)
1513-0064	Importer's Records and Reports (TTB REC 5170/1)
1513-0092	Marks on Wine Containers, TTB REC 5120/3
1545-0025	Affiliations Schedule
1545-1099	Form 8811--Information Return for Real Estate Mortgage Investment Conduits (REMICs) and Issuers of Collateralized Debt Obligations
1545-1480	REG-107047-00 (TD 8985 - Final), Hedging Transactions

1545-1533	Revenue Procedure 97-22, 26 CFR 601.105 Examination of returns and claims for refund, credits, or abatement, determination of correct tax liability
1545-1678	REG-161424-01 (Final), Information Reporting for Qualified Tuition and Related Expenses; Magnetic Media Filing Requirements for Information Returns; REC-105316-98 (Final) Information
1545-1704	Change in Minimum Funding Method
1545-1806	Form 8883--Asset Allocation Statement Under 338
1545-1996	Notice 2006-05, Waiver for Reasonable Cause for Failure to Report Loan Origination Fees and Capitalized Interest
1545-2132	Carbon Dioxide Sequestration Credit.
1545-2262	Form 5498-QA (ABLE Account Contribution Information) and 1099-QA (Distributions from ABLE Accounts)
1545-2264	Form 8971--Information Regarding Beneficiaries Acquiring Property from a Decedent
Department of Transportation	
2105-0538	Disclosure of Change-of-gauge Services
2106-0005	Public Charters - 14 CFR PART 380
2120-0680	Flight Simulation Device Initial and Continuing Qualification and Use
2120-0702	Use of Certain Personal Oxygen Concentrator (POC) Devices on Board Aircraft
2125-0636	Environmental Excellence Awards
2126-0059	Report by State Driver Licensing Agencies (SDLAs) on the Annual Number of Entry-Level Commercial Driver's License (CDL) Applicants and Related Data
2127-0019	49 CFR Part 537, Automotive Fuel Economy Reports (F.E.) Reports
Department of Veterans Affairs	
2900-0376	Agent Orange Registry Code Sheet
2900-0524	VA Police Officer Pre-Employment Screening Checklist
2900-0554	Homeless Providers Grant and Per Diem Program
2900-0750	Ethics Consultation Feedback Tool (ECFT)
2900-0751	Department of Veterans Affairs Supplier Perception Survey (SPS)
2900-0790	Application and reporting Requirements to Receive Grants Under 38 CFR 17.703, RIN 2900-AO01

Environmental Protection Agency	
2060-0104	Motor Vehicle Emissions and Fuel Economy Compliance (Transfer from 2060-0669)
2070-0030	Notification of Chemical Exports - TSCA Section 12(b)
2070-0033	TSCA Section 4 Test Rules, Consent Orders, Enforceable Consent Agreements, Voluntary Testing Agreements, Voluntary Data Submissions, and Exemptions from Testing Requirement
2070-0060	Application for New and Amended Pesticide Registration (Renewal)
2070-0078	Pesticide Registration Application, Notification and Report for Pesticide Producing Establishments (Renewal)
2070-0176	Tier 1 Screening of Certain Chemicals Under the Endocrine Disruptor Screening Program (EDSP)
2070-0178	EPA's Design for the Environment Formulator Product Recognition Program
Export-Import Bank of the United States	
3048-0029	Marketing Fax Back Response Form
3048-0040	Notification and Assignment by Insured to Financial Institution of Medium Term Export Credit Insurance Policy
Federal Communications Commission	
3060-1100	Section 15.117(k), TV Broadcast Receivers; Section 15.117(b), Elimination of Analog Tuner Requirement
Nuclear Regulatory Commission	
3150-0181	NRC Form 590, Application/Permit for Use of the Two White Flint North (TWFN) Auditorium
Office of Personnel Management	
3206-0034	RI 30-2, Annuitant's Report of Earned Income
3206-0082	Presidential Management Fellows (PMF) Program Online Application and Resume Builder
3206-0099	RI 25-41, Initial Certification of Full-time School Attendance

	3206-0138	RI 30-9, Reinstatement of Disability Annuity Previously Terminated Because of Restoration to Earning Capacity
Small Business Administration		
	3245-0075	Training Program Evaluation

About OIRA

The Paperwork Reduction Act of 1980 (Pub. L. No. 96-511) and its successor, the Paperwork Reduction Act of 1995 (Pub. L. No. 104-13), established the Office of Information and Regulatory Affairs (OIRA) in the Office of Management and Budget to oversee agency information resources management, information collection, and use of information technology. Under this authority, OIRA develops policies and guidelines to promote the management, dissemination, privacy, and security of government information. OIRA also coordinates Federal statistical policies and resources and is responsible for the review of agency rulemaking activity under Executive Orders 13563 and 12866. The Administrator of OIRA is appointed by the President and confirmed by the Senate.

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